

CODE OF CONDUCT

Compliance principles of DGM and INVENTUM GmbH

Legality, objectivity and independence are the fundamental values of the Deutsche Gesellschaft für Materialkunde e.V. and INVENTUM GmbH (together referred to hereinafter as "DGM") and form the basis of all actions. DGM has summarized its values and the principles of conduct resulting from these values in this document. It forms the framework for further supplementary regulations:

1. Scope

- 1.1 This Code of Conduct applies to the Deutsche Gesellschaft für Materialkunde e.V. (German Society for Material Science). (hereinafter referred to as "Association") and INVENTUM GmbH (hereinafter referred to as "GmbH") and serves the realization of the non-profit purposes and objectives of the Association in accordance with the statutory and statutory provisions.
- 1.2 The Code of Conduct serves as a basis for all members, employees and organs of the DGM. The organs of the association and the GmbH must ensure compliance with the applicable statutory and legal regulations and the internal guidelines and work towards compliance with them.

2. Non-profit purpose

- 2.1 All actions of the Association shall be guided by the statutory purpose of the Association, namely the promotion of science and research in the field of materials science and technology.
- 2.2 The purpose of the statutes is realized according to § 1 of the statutes of the association as follows:
 - Cooperation of members from science and technology in technical committees and working groups,
 - Cooperation with research institutions,
 - Cooperation with government agencies to promote research and development,
 - Organization of lecture and discussion conferences,
 - Realization of courses and seminars for vocational further training,

- Initiation and supervision of research and development projects,
- Cooperation in questions of training,
- Publication of journals and books,
- Cooperation with domestic and foreign companies and organizations with related objectives.

2.3 The GmbH supports the realization of the purpose of the association by the production and world-wide spreading of information in the area of the material science and material technology in the form of consultation, advertisement, organization and execution of conferences, advanced training meetings and exhibitions as well as by publishing magazines and books (§ 2 S. 2 of the articles of association).

3. Compliance with law and order

3.1 The DGM is committed to complying with the applicable statutory and legal provisions as well as with the internal guidelines laid down in the Articles of Association, Articles of Association and Rules of Procedure throughout the Association. In its actions, DGM is committed to the values of integrity and fairness as well as the principle of transparency.

3.2 DGM is particularly committed to consistent compliance with national and European antitrust laws and works exclusively in accordance with these regulations. DGM undertakes actions to actively counter any conduct that violates antitrust laws within the scope of its activities.

3.3 The DGM is committed to an effective fight against corruption. The acceptance and granting of monetary gifts, cash equivalents or other financial benefits is generally prohibited. Invitations to business lunches and events must serve a legitimate purpose and be within an appropriate, socially adequate framework. It must be excluded that the invitation to influence a concrete business decision is issued. This applies both to the acceptance and to the offer of hospitality and invitations. A mixture of private and business interests must be avoided in order to prevent corresponding conflict situations.

3.4 All processing of personal data is carried out in accordance with the statutory data protection provisions.

3.5 DGM has imposed various obligations on itself through various regulations, which serve the constant realization of the fundamental values, purposes and objectives of DGM and set legal requirements. These regulations are:

- Statutes of the German Society for Material Science e.V. which regulates in particular the realization of the non-profit purposes of the association,

- Articles of Association of INVENTUM GmbH,
- Rules of procedure,
- Guidelines for the promotion of jDGM local groups,
- Antitrust compliance directive,
- Privacy Policy,

In addition, the DGM is guided by the position paper "Ethical Principles of the Engineering Profession" adopted by the VDI Association of German Engineers in 2002.¹

The regulations listed under point 3.5 are constantly further developed within the framework of the commitment according to points 3.1 to 3.4.

- 3.6 All members, employees and board members of the DGM are obliged to comply with the above-mentioned regulations as well as the relevant legal and regulatory provisions. In particular, they are obliged to refrain from acts which could lead to criminal liability for fraud, breach of trust, insolvency violations, offences against competition laws, granting of illegal advantages or bribery.
- 3.7 Any conduct which constitutes an improper market arrangement, corrupt conduct, unwanted acceptance or giving of gifts or any other serious breach of this code of conduct shall result in exclusion of members from the Association, expulsion from all offices for honorary board members and labor law consequences for DGM staff.

16.08.2019, Berlin, Germany

Deutsche Gesellschaft für Materialkunde (DGM) e.V.

The DGM Management Board

INVENTUM Ltd.

The INVENTUM Managing Director

¹ <https://www.vdi.de/bildung/ethische-grundsätze/ethische-grundsätze>